

Western Carolina University
Supplemental Conflict of Interest Disclosure Form
Externally Funded Research

Name of Investigator:

Funding Agency:

Project Title:

Investigators who plan to participate in the design, conduct, or analysis of externally funded research must complete this form at time of application to external funding agency. This form must be completed at least annually or within 30 days of acquiring a new significant financial interest.

This form asks investigators to disclose significant financial interests that are or reasonably appear related to their institutional responsibilities.

1. Significant Financial Interest in a Publicly Traded Entity

In the past 12 months, have you or your immediate family received remuneration (e.g. salary, consulting fees, honoraria, paid authorship, etc) or equity interest (stock, stock options or other ownership interest) from a publicly traded entity related to your institutional responsibilities ?

Yes

No

If yes, does the aggregated value of that remuneration or equity interest exceed \$5,000?

Yes

No

If you answered yes to both of the above questions, use the space below to provide details. Include the name of the entity, the value of the remuneration or equity interest, and the manner in which the remuneration or equity was acquired.

2. Significant Financial Interest in a Non-Publicly Traded Entity

In the past 12 months, have you or your immediate family received remuneration from a non-publicly traded entity (not including WCU) related to your institutional responsibilities?

Yes

No

If yes, does the aggregated value of the remuneration exceed \$5,000?

Yes

No

If you answered yes to both of the above questions, use the space below to provide details. Include the name of the entity, the value of the remuneration, and the manner in which the remuneration was acquired.

3. Intellectual Property Rights and Interests

Have you or your immediate family members ever received income related to intellectual property rights and interest (e.g patents, copyrights), other than intellectual property rights assigned to Western Carolina University?

Yes

No

If yes, use the space below to provide detail. Include the natures of the intellectual property rights and interests, the amount of income received, and how the property rights/interests were acquired.

The ORA may determine that additional information is needed in order to determine whether the significant financial interest constitutes a financial conflict of interest.

By signing this form, I certify that the statements on this form are accurate and true to the best of my knowledge.

I have completed the CITI module "Conflict of Interest" and my certificate is on file with the ORA.

I agree to abide to the University's Supplemental Procedures Governing Financial Conflict of Interest in Externally Funded Research

I will notify the ORA within 30 days of any change or discovery that requires modification of the statements above.

Signature:

Date:

Return completed form to Office of Research Administration, 110 Cordelia Camp Building